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Wave-induced mean currents and setup over barred and steep sandy beaches

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Abstract

Wind-generated surface waves breaking in the nearshore cause an increase in mean water levels, the wave setup, which can represent a significant fraction of storm surges developing both along open coasts and over sheltered areas such as coastal lagoons and estuaries. A common way to simulate the wave setup is to assume a balance between the barotropic gradient and the divergence of the depth-integrated wave-averaged momentum flux (radiation stress) associated with breaking waves in the surf zone. Field observations collected at several sandy beaches revealed that this depth-integrated approach could largely underestimate the wave setup close to the shoreline (by up to a factor of 2). The present study builds on Guérin et al. (2018) and further investigates how representing the depth-varying wave-induced forcing in modelling systems can improve the prediction of wave setup across the surf zone. We use data collected during two major field campaigns at Duck, N.C., combined with simulations with SCHISM, a threedimensional (3D) phase-averaged modelling system employing the vortex-force formalism to represent the effects of waves on currents. The ability of SCHISM to reproduce the surf zone circulation is first assessed with data collected during October 1994 (Duck94), which serve as a classical benchmark for 3D hydrostatic oceanic circulation models. The wave setup dynamics are then analysed during a storm event that occurred during SandyDuck. Consistent with the results of Guérin et al. (2018), we find that resolving the depth-varying nearshore circulation results in increased and improved wave setup predictions across the surf zone. At the shoreline, depth-integrated approaches based on the vortex-force formalism or the radiation stress concept underestimate the maximal wave setup by 10-15% and 30% on the 1:14 foreshore slope, respectively. An analysis of the 3D cross-shore momentum balance reveals that the vertical mixing is the second most important contributor (10-15% across the surf zone) to the simulated wave setup after the wave forces (80-90%), followed by the vertical advection whose contribution increases with the beach slope (up to 10% at the shoreline). Simulations performed with a phase-resolving numerical model suggest that the largest discrepancies observed at the shoreline in past studies likely originate from swash-related processes, highlighting the difficulties to disentangle wave and swash processes on steep foreshores in the field.

1. Introduction

² As they break in the nearshore region, wind-generated surface gravity waves (hereafter short waves)

³ generate currents at various temporal and spatial scales (e.g., Svendsen, 1984a; Peregrine and Bokhove,

⁴ 1998; Bühler and Jacobson, 2001; Smith, 2006; Castelle et al., 2016). The wave-driven nearshore circulation

⁵ controls the short- to long-term morphological evolution of coastlines (Wright and Short, 1984) and plays an

⁶ important role in the exchanges of nutrients and pollutants between the coastal region and the continental

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shelf (Morgan et al., 2018). The excess of momentum due to breaking also causes an increase in mean 7 water levels – the wave setup – that generally reaches its maximum close to the shoreline (e.g., see Bowen 8 et al., 1968; Guza and Thornton, 1981; Nielsen, 1988; Lentz and Raubenheimer, 1999). During storms, the wave setup can exceed 1 m at the coast, and hence greatly contributes to the storm surge observed 10 along open coasts bordered by narrow to moderately-wide shelves (Fiedler et al., 2015; Guérin et al., 11 2018). Large waves breaking over ebb deltas also generate a setup that can extend at the scale of coastal 12 lagoons or large estuaries (e.g., see Malhadas et al., 2009; Olabarrieta et al., 2011; Fortunato et al., 2017; 13 Lavaud et al., 2020), causing potential hazard to supposedly sheltered areas. The wave setup that develops 14 along shorelines adjacent to tidal inlets exerts a key control on their morphodynamics. Indeed, the lateral 15 barotropic pressure gradients associated with longshore-varying wave setup can drive strong flows and 16 sediment transport oriented towards the lagoon (Bertin et al., 2009). The wave setup is also a component of 17 the wave runup, which determines the maximal elevation under the action of waves. Developing a good 18 understanding of wave breaking processes in the nearshore and how those lead to the wave setup is thus 19 essential for improving our capacity to predict and mitigate coastal risks such as flooding and erosion. 20 Following the early observation-based studies on wave setup dynamics (Savage, 1957; Fairchild, 1958; 21

Saville, 1961), Longuet-Higgins and Stewart introduced the concept of radiation stress - the excess flux 22 of momentum due to the presence of waves - in order to describe the two-dimensional depth-averaged 23 (2DH) forcing exerted by short waves on the water column (Longuet-Higgins and Stewart, 1962, 1964). In 24 nearshore regions where the bottom stress is negligible (*i.e.*, weak current over smooth bottoms), a close 25 balance was observed in the field between the time and depth-averaged wave momentum fluxes and the 26 barotropic pressure gradient induced by the tilted mean water level either due to shoaling (setdown) or 27 breaking (setup) waves (Guza and Thornton, 1981; Lentz and Raubenheimer, 1999; Raubenheimer et al., 28 2001): 29

$$\frac{\partial S_{xx}}{\partial x} \sim -\rho g h \frac{\partial \eta}{\partial x} \tag{1}$$

where S_{xx} is the cross-shore component of the radiation stress tensor (x being the cross-shore spatial 30 coordinate), ρ is the water density, g is the gravity constant, η is the time-averaged (over several wave 31 groups) surface elevation and h is the mean water depth. However, several studies reported that numerical 32 models based on this simple balance (Eq. 1) could result in a substantial underestimation of the wave 33 setup close to the shoreline (up to a factor of 2, e.g., see Guza and Thornton, 1981; Raubenheimer et al., 34 2001; Apotsos et al., 2007), suggesting that other processes may be important. One of the reasons for 35 this discrepancy in shallow water depths resides in the large onshore-directed bottom stress associated 36 with intense undertows that develop under breaking and broken waves (Svendsen, 1984b; Deigaard et al., 37 1991), and which directly contributes to the wave setup (Apotsos et al., 2007). Using the same dataset as 38 Raubenheimer et al. (2001) (SandyDuck experiments in 1997 at Duck, N.C.), Apotsos et al. (2007) could 39 reduce the errors to within $\sim 30\%$ of the observations by including the effects from the shear stresses at the 40 bottom estimated via a simple one-dimensional (along the vertical, 1DV) undertow model. 41

The radiation stress formalism embeds both adiabatic (*i.e.* conserving the wave momentum flux) and 42 dissipative effects of short waves on currents, which complicates the physical interpretation of wave-43 current interactions. Following the ideas of Garrett (1976) in deep water, Smith (2006) decomposed the 44 total momentum into mean current and surface wave components in order to derive an equivalent, but 45 physically easier-to-interpret, formulation for the effects of short waves on currents in the nearshore region. 46 This decomposition directly links the energy dissipation associated with breaking waves with the large 47 scale vorticity observed in surf zones (Bonneton et al., 2010). The vortex-force (VF) formalism extends this approach to the vertical, and allows for the reproduction of depth-varying wave-induced circulation 49 such as Langmuir cells in deep water (e.g. Leibovich, 1980) and nearshore currents (e.g., Newberger and 50 Allen, 2007a; Uchiyama et al., 2010; Kumar et al., 2012; Lavaud et al., 2022; Pezerat et al., 2022). Using the 51 approximated Generalized Lagrangian Mean (GLM) equations derived by Ardhuin et al. (2008), Bennis 52

et al. (2011) proposed a set of equations for the depth-varying effects of short waves on currents which, 53 when integrated over depth, are closely equivalent to those derived by Smith (2006). The depth-varying 54 adiabatic terms of the equations of Bennis et al. (2011) are exact to second order in wave slope, however, 55 the vertical shape of the dissipation terms are virtually unknown. In the case of depth-induced breaking 56 for instance, the forcing is most often viewed as a surface stress (e.g., Phillips, 1977; Deigaard, 1993; 57 Walstra et al., 2000), but empirical shape functions based on local wave properties such as the dominant 58 wavenumber have also been used in previous studies (e.g., see Uchiyama et al., 2010). An adequate 59 parametrisation for the vertical mixing is, in both cases, required for accurately representing the strongly 60 sheared currents commonly observed in surf zones (Feddersen and Trowbridge, 2005; Uchiyama et al., 61 2010; Kumar et al., 2012; Delpey et al., 2014; Pezerat et al., 2022). 62

The VF formalism has now been implemented within several 3D hydrostatic oceanic circulation models, 63 mostly based on the equations derived by McWilliams et al. (2004) using multiple asymptotic scale analyses 64 (e.g. ROMS- or FVCOM-based models, see Uchiyama et al., 2010; Kumar et al., 2012; Zheng et al., 2017) or 65 those derived by Ardhuin et al. (2008) from the GLM equations for the quasi-Eulerian current velocities 66 of Andrews and McIntyre (1978). Closely equivalent approaches include the works of Newberger and 67 Allen (2007a,b), implemented in POM. The equations of Bennis et al. (2011), simplified from Ardhuin 68 et al. (2008) for the case of weakly sheared currents, were implemented in models such as SYMPHONIE 69 (Michaud et al., 2012), GETM (Moghimi et al., 2013), MOHID (Delpey et al., 2014) and SCHISM (Guérin 70 et al., 2018). Though depth-induced breaking processes remain crudely parametrised in phase-averaged 71 models, the VF formalism substantially improved our capacity to realistically simulate the nearshore 72 circulation and the vertically-sheared currents observed in surf zones compared to the previous 1DV 73 modelling approaches (Svendsen, 1984a; Stive and Wind, 1986; Deigaard et al., 1991). Recent studies also 74 brought strong evidence that resolving the depth-varying wave-driven circulation in the nearshore also 75 influences wave setup estimates at the shoreline. Although their wave setup predictions were primarily 76 controlled by the choice for the wave breaking index, Bennis et al. (2014) identified a relatively strong 77 influence from the parametrisation of the bottom shear stress and the vertical mixing on the simulated wave 78 setup (variations of about 10%). By combining field measurements collected on a dissipative sandy beach 79 and numerical simulations with the three-dimensional (3D) phase-averaged modelling system SCHISM, 80 Guérin et al. (2018) corroborated these findings and identified important contributions to the simulated 81 wave setup from the depth-varying surf zone circulation (dominantly the horizontal advection and the 82 vertical mixing). Using synthetic cases as in Bennis et al. (2014), these authors also suggested that this 83 contribution increases with the beach slope (up to ~20% increase on 1:20 slopes), thus providing a potential explanation for the commonly-reported underestimations of wave setup predictions near the shoreline with 85

⁸⁶ 2DH modelling approaches (Apotsos et al., 2007).

The present study builds on Guérin et al. (2018) and aims to further analyse how representing the 87 depth-varying surf zone circulation in 3D hydrostatic ocean modelling systems can affect and improve 88 the predictions of wave setup on barred and steep sandy beaches. At the spatial scales considered in this 89 study, the wave setup dynamics is often analysed with phase-resolving modelling approaches, in a depth-90 integrated manner or with a multi-layer approach, because these approaches can simulate swash motions 91 at the beach face and hence resolve both the wave setup and wave runup (e.g., see Gomes et al., 2016; 92 Nicolae-Lerma et al., 2017; Fiedler et al., 2018; de Beer et al., 2021). However, such modelling approaches 93 remain computationally expensive (several orders of magnitude increase compared to phase-averaged 94 models over a similar domain) and are most often unsuitable for operational purposes or early warning 95 systems at regional and national scales. In this context, it it critical to better understand the impact of 96 the modelling strategy (e.g., resolving depth or not, which formalism for representing the effect of waves 97 on currents) on the accuracy of 3D hydrostatic ocean modelling systems to reproduce the time-averaged 98 wave-induced circulation in the nearshore region. Here, the dynamics of the wave-induced nearshore 99 circulation (mean currents and wave setup) are analysed using a combination of field datasets collected 100

at Duck, N.C., during two major field campaigns (Duck94 and SandyDuck) and numerical experiments 101 with SCHISM, a 3D unstructured-based hydrostatic ocean modelling system (Zhang et al., 2016). The two 102 storm events from the Duck94 and SandyDuck campaigns considered here are first described in Section 103 2. Section 3 provides a brief overview of the modelling system SCHISM (Zhang et al., 2016), along with a 104 more detailed description of the recent developments for the parametrisation of various physical processes 105 (e.g. the wave-induced vertical mixing). In Section 4, the ability of SCHISM to simulate the cross-shore 106 transformation of directionally-spread irregular waves and the associated depth-varying circulation in the 107 surf zone is assessed, for the first time at such level of details, using the Duck94 dataset that comprises 108 highly-resolved profiles of mean currents along the vertical (Garcez Faria et al., 1998, 2000). The wave setup 109 dynamics are then analysed in Section 5 using the data collected during SandyDuck (Raubenheimer et al., 110 2001; Apotsos et al., 2007). The ability of the modelling system to simulate the cross-shore distribution of 111 wave setup across the surf zone is first assessed in Section 5.1. The contributions to the simulated wave 112 setup from the different terms of the cross-shore momentum equations are then analysed in Section 5.2 113 with the objective of quantifying the added-value of using 3D approaches. A particular focus is made at 114 the shoreline, where Apotsos et al. (2007) reported significant underestimations of the wave setup with 115 2DH radiation stress-based approaches. The main findings are summarised in Section 6, and perspectives 116 for phase-averaged numerical approaches are briefly discussed. 117

118 2. Study site and field datasets

The present study uses data collected during storm conditions at the Field Research Facility (FRF), located 119 at Duck, North Carolina (see Fig. 1), during the Duck94 (August to November 1994) and SandyDuck 120 (September to November 1997) series of experiments. During both experiments, comprehensive datasets of 121 surf zone hydrodynamics and sediment transport were collected (Birkemeier et al., 1996) and significantly 122 advanced our understanding of nearshore dynamics. Topographic and bathymetric surveys around the 123 FRF pier have been regularly performed over the last decades using the Coastal Research Amphibious 124 Buggy (CRAB). During major experiments such as Duck94 or SandyDuck, the frequency of these surveys 125 increased and could be performed almost on a daily basis. Wind, atmospheric pressure and mean water 126 level data are continuously collected at the pier while a permanent array of pressure sensors deployed in 127 8 m-depth continuously provides estimates of the directional wave forcing (hereafter the 8 m array; see 128 Long, 1996, for more details). This monitoring program hence represents a unique opportunity to provide 129 numerical models with accurate and realistic forcing, allowing detailed numerical analyses of the resulting 130 nearshore circulation. The next two sections describe in more details the two storm events considered in 131 the present study, one occurring during Duck94 and the other during SandyDuck. 132

133 2.1. Duck94 event (12 October 1994)

The storm event that occurred between 10-13 October during Duck94 was characterized by relatively 134 strong NE winds (Fig. 2b-c), which drove local seas to the field site (typical mean wave period T_{m01} of 135 6s, see Fig. 2e). Wind waves initially arrived from the N-NE direction and turned to NE-E towards the 136 13 October, corresponding to a mean incidence angle decreasing from 12° to 5° (Fig. 2f). Incident waves 137 on the 12 October exhibited a large directional spreading at the 8 m array, as evidenced in Fig. 2g-i. The 138 beach topo-bathymetry was alongshore-uniform during this event (Fig. 1), exhibiting a steep foreshore 139 (1:12), a bar/trough system with the bar crest being located around $x \sim 250$ m, and a much milder slope on 140 the seaward side of the bar (1:170). Note that in this study, all cross-shore (x coordinate) and longshore (y 141 coordinate) positions are provided in the FRF coordinate system. Sediment sampling analyses performed 142 during the experiments revealed that sediments in the surf zone were well-sorted and characterised by a 143 mean grain diameter around 0.2-0.25 mm. 144

The relatively large wave incident angles combined with the moderately energetic conditions characterising this event (H_{m0} peaked at 2.20 m, see Fig. 2d) generated intense currents, especially around



Figure 1: The left panel shows a map of the US, zoomed around the field site area of Duck, N.C. (location shown as the yellow square). The coastline around Duck faces the Atlantic Ocean and has a mean orientation of 71.2° with respect to the North. The right panel shows the bathymetry collected on the 12 October during Duck94 in the FRF coordinate system (*x* : cross-shore coordinate; *y* : longshore coordinate). The pressure transducers used to verify the wave model predictions across the surf zone were deployed along a cross-shore transect located around $y \sim 930$ m and are shown as red dots (Elgar et al., 1997). The green squares correspond to the seven different positions where the sled structure was deployed on the 12 October ($y \sim 905$ m, Garcez Faria et al., 1998). The yellow star corresponds to the position of the 8 m pressure array, where the offshore wave forcing is estimated (Long, 1996).

the sandbar, where the magnitude of longshore currents reached up to 1.0 m/s (Garcez Faria et al., 1998).

Detailed measurements of the intensity and vertical distribution of these currents were collected with eight 148 Marsh-McBirney electro-magnetic current meters deployed at fixed heights on a specifically-designed ver-149 tical structure referred to as the sled (see Garcez Faria et al., 1998, 2000, for further details). Assuming no 150 burial of the structure, the current meters were deployed at approximately 23, 42, 68, 101, 147, 179, 224, 151 and 257 cm above the seabed, respectively. The sled was initially towed by the CRAB to the most seaward 152 location for the first run of the experiments. The sled was then pulled by a forklift truck shorewards by 153 10-30 m every hour or so for subsequent runs. A total of seven cross-shore locations were covered on the 154 12 October (see Fig. 1, green squares), corresponding to the measurements runs #1-7 detailed in Table 1 155 and Fig. 2d. Several pressure sensors were also fixed to the sled, providing bulk wave parameters and 156 estimates of the mean sea-surface elevation for each run. The sled dataset is further complemented by 157 bulk wave parameters computed from a series of pressure transducers that collected bottom pressure at 158 2 Hz (see Elgar et al., 1997, for further details). This array of pressure transducers was deployed along a 159 cross-shore transect located slightly North to the sled alongshore positions (y = 930, see Fig. 1). 160

The dataset from the 12 October event is now a traditional benchmark for nearshore applications of 161 3D hydrostatic ocean modelling systems (e.g., see Newberger and Allen, 2007b; Uchiyama et al., 2010; 162 Kumar et al., 2012; Moghimi et al., 2013; Zheng et al., 2017). Here, this dataset is principally used to verify 163 the ability of the modelling system SCHISM to represent the 3D wave-induced circulation. A significant 164 novelty compared to previous studies that used such a modelling approach is that all 7 runs from the 165 sled experiments are covered in one single simulation, with time-varying forcing originating from locally-166 sourced measurements of winds, water levels and directionally-broad waves estimated at the 8 m array. 167 Past modelling studies have only considered monochromatic wave forcing held constant throughout the 7 168 runs, which does not necessarily represent the time-varying incident wave conditions experienced during 169 the storm event. Except for Newberger and Allen (2007b), most past studies have also neglected the effect 170 of tide-induced water level fluctuations, though the mean water depth above the sandbar varied by as 171



Figure 2: Meteo-oceanographic conditions during the 12 October 1994 storm event. Panels a-b-c show the mean water surface elevation η , the wind speed and direction measured at the FRF pier, respectively. Panels d-e-f show the significant wave height H_{m0} , wave periods (peak T_p and mean T_{m01}) and the mean wave direction θ_m estimated at the 8 m array (meteorological convention). In d), the time and duration of the seven sled runs are indicated with gray shaded areas. Panels g-h-i show the directional wave spectra estimated at the 8 m array at the time corresponding to the sled runs #1, 4 and 7, respectively (see panel d for exact times). Red dashed lines in panels c, f, and g-i indicate the direction corresponding to shore-normal.

Table 1: Details of the sled runs performed on the 12 October 1994. Times are provided relative to Greenwich Mean Time (GMT), which corresponds to local time +5h. The mean water depth at the bar crest ($x \sim 250$ m) is given as an indication of the tidal level (see also Fig. 2a).

Sled runs	#1	#2	#3	#4	#5	#6	#7
Starting time	12:44	14:27	16:02	17:26	18:27	20:13	21:22
Ending time	14:07	15:38	17:13	18:26	19:53	21:10	22:16
<i>x</i> [m]	298	273	252	225	210	188	172
Depth at bar crest [m]	1.96	2.25	2.56	2.70	2.69	2.57	2.24
H_{m0} [m]	1.59	1.61	1.44	1.27	1.12	1.15	1.06
H_{m0} [m] at 8-m array	1.89	2.00	2.05	2.04	2.03	2.09	2.10
T_{m01} [s]	6.14	6.28	6.44	6.29	6.36	6.42	6.38
T_{m01} [s] at 8-m array	6.39	6.67	6.71	6.83	6.85	7.06	7.02

¹⁷² much as 0.8 m throughout the entire sled experiments. By doing so, we aim to evaluate the capacity of

¹⁷³ SCHISM to reproduce the surf zone circulation in the most detailed and realistic situation as possible since

this is then extremely relevant for nearshore applications of this model at regional and national scales

(Guérin et al., 2018; Pezerat et al., 2021, 2022; Lavaud et al., 2020, 2022).

176 2.2. SandyDuck event (13-14 November 1997)

During SandyDuck, field measurements of wave setup were collected at an unprecedented level of accuracy (Raubenheimer et al., 2001; Apotsos et al., 2007), making it a great opportunity to analyse the wave setup dynamics with different modelling strategies (*e.g.*, 2D/3D approaches). The event of interest

occurred around the 13-14 November, when energetic waves drove a relatively large wave setup across 180 the surf zone (up to 0.4 m measured near the shoreline during low-tide on 14/11/1997 6AM). This event 181 was chosen because it is particularly representative of the whole dataset of Apotsos et al. (2007), in which 182 2DH-based modelling approaches largely underestimate the wave setup close to the shoreline. In more 183 details, the significant wave heights measured during this particular event nearly reached 3 m in 8 m-depth 184 close to high-tide at midnight on the 14 November (Fig. 3b). As the storm initially approached on the 185 13 November, wind waves predominantly came from the NE direction and were characterised by a peak 186 period of 6-7 s. On the 14th, the peak period increased up to 10 s and waves were mostly normally-incident 187 with respect to the coast. Compared to the Duck94 event introduced above, the beach profile on the 13 188 November 1997 had a slightly milder foreshore (1:14). A double bar system was evident, with a gently-189 sloping offshore sandbar located around $x \sim 310$ m, and a steeper sandbar directly connected to the beach 190 face. 191

The experimental setup for this event is presented in Fig. 3a and is mainly comprised of buried and 192 unburied pressure transducers deployed across the beach at $y \sim 830$ m. The collection and processing of this 193 dataset is fully described in Raubenheimer et al. (2001) so that only the information relevant for this study is 194 provided here. Unburied sensors provided intermittent estimates of the evolution of bulk wave parameters 195 (mostly H_{m0} , see data from p72 in Fig. 3b), which are used to tune the wave breaking parametrisation in 196 the wave model. Altimeters collocated to these unburied pressure transducers continuously measured the 197 elevation of the seabed. The data from these altimeters validated the bathymetric profiles measured by 198 the CRAB on the 11th, which were used to construct the bathymetry. Except for the most landward sensor 199 (circle filled in gray in Fig. 3a), all buried sensors were used to estimate the wave setup (Raubenheimer 200 et al., 2001; Apotsos et al., 2007). The wave setup was estimated as the difference in the mean water surface 201 elevation relative to q39 ($x \sim 445$ m). Thus, this estimate is not absolute as it neglects a few mm or even 202 cm of setdown/setup that can develop seaward of q39 due to shoaling or breaking processes under certain 203 wave conditions. 204



Figure 3: Experimental setup during the 13-14 November storm event (SandyDuck). Panel a) shows the cross-shore location of buried (filled circles) and unburied (open circles) pressure transducers (cross-shore transect located around $y \sim 830$ m). Altimeters were collocated to unburied sensors in order to monitor the evolution of the seabed elevation. Panel b) shows the timeseries of significant wave height H_{m0} during the event measured at the 8 m array (corrected for low bias) and at the most offshore pressure transducers (p72). The two periods of interest are highlighted as gray-shaded areas (high-tide: HT; low-tide: LT). Panel c) shows the time evolution of wave setup relative to q39, estimated at four locations across the surf zone.

Timeseries of wave setup estimated at four locations across the surf zone are shown in Fig. 3c. The 205 wave setup measured during the SandyDuck storm event displays a strong tidal modulation, with the 206 highest values observed at low tides. This is partly explained by the double bar system, with the second 207 bar (see around x = 125 - 160 m, Fig. 3a) acting like a narrow terrace, promoting more intense wave energy 208 dissipation over this shallow region at low tide. At the most onshore sensor (q29), the estimated wave 209 setup is well over 0.30 m at low tides, which corresponds to the data points where predictions based on 210 simple cross-shore momentum balances (e.g. Eq. 1) strongly underestimate the wave setup (Raubenheimer 211 et al., 2001; Apotsos et al., 2007). Since wave heights across the surf zone are not available during the first 212 low-tide of 14 November 1997, Section 5 will investigate the wave setup dynamics during SandyDuck by 213 comparing the high-tide situation around midnight on 14 November (HT in Fig. 3b) with the low-tide 214 around 6PM (LT in Fig. 3b). 215

The initial assessments of the model at the most seaward pressure sensor (p72) revealed a low bias in 216 the modelled significant wave height (of the order of $\sim 10\%$), owing to a low bias in incident wave energy 217 in the directional wave spectra estimated at the 8 m array. The fact that most past studies investigating the 218 wave setup dynamics during SandyDuck used forcing from p72 instead likely explains why this issue has 219 not been reported (at least to the best of our knowledge). Potential explanations for this low bias lie in the 220 method used for reconstructing the directional wave spectra at the 8 m array during storms (Long, 1996). 221 For instance, this approach assumes a flat bottom (*i.e.*, shoaling is neglected between pairs of pressure 222 sensors forming the array), which can be quite a strong hypothesis given that the array spans nearly 223 175 m in the cross-shore direction, and it uses linear wave theory to convert pressure signals to sea-surface 224 elevation signals, which has also shown limitations for nonlinear waves shoaling in intermediate water 225 depths (e.g., see Martins et al., 2021). Considering the importance of the wave forcing for analysing the 226 different contributions to the wave setup at the shoreline, a correction was directly applied to the measured 227 directional spectra in the form of a constant multiplier to the estimated energy density, in order to remove 228 the low bias at p72. 229

230 3. The modelling system: SCHISM

231 3.1. General description

The transformation of nearshore waves and the resulting hydrodynamic circulation are simulated 232 with SCHISM (Semi-implicit Cross-scale Hydroscience Integrated System Model), a 3D unstructured-grid 233 modelling system (Zhang et al., 2016). The wave effects on currents are represented with the VF formalism 234 described by Bennis et al. (2011) (based on the work of Ardhuin et al., 2008), whose implementation in 235 SCHISM is described in Guérin et al. (2018). The VF framework considers the quasi-Eulerian velocities 236 $(\hat{\mathbf{u}},\hat{w})$, which are related to the Lagrangian (\mathbf{u}^l,w^l) and Stokes drift (\mathbf{u}^{st},w^{st}) velocities through $(\hat{\mathbf{u}},\hat{w})$ = 237 $(\mathbf{u}^l, w^l) - (\mathbf{u}^{st}, w^{st})$. In contrast, when radiation stresses are used instead of the VF for representing the wave 238 effects on currents, the Lagrangian velocities are solved and the reader is referred to Roland et al. (2012) 239 for their implementation in SCHISM. 240

SCHISM solves the 3D Reynolds-averaged Navier-Stokes equations with the assumption that the pressure is hydrostatic (Zhang and Baptista, 2008; Zhang et al., 2016). Conservation of mass is ensured via the resolution of the following continuity equations (in 3D and depth-averaged form respectively) for the quasi-Eulerian velocities ($\hat{\mathbf{u}}, \hat{w}$) and the free surface elevation η :

$$\nabla \cdot \hat{\mathbf{u}} + \frac{\partial \hat{w}}{\partial z} = 0 \tag{2}$$

$$\frac{\partial \eta}{\partial t} + \nabla \cdot \int_{z_b}^{\eta} \left(\hat{\mathbf{u}} + \mathbf{u}^{\text{st}} \right) dz = 0$$
(3)

²⁴⁵ The momentum equation, resolved at each vertical layer, reads:

$$\frac{D\hat{\mathbf{u}}}{Dt} = \frac{\partial}{\partial z} \left(\nu \frac{\partial \hat{\mathbf{u}}}{\partial z} \right) - g \nabla \eta + \mathbf{F}$$
(4)

²⁴⁶ where:

247

248

 ∇ Nabla operator: $\left(\frac{\partial}{\partial x}, \frac{\partial}{\partial y}\right)$

- *D*/*Dt* material derivative
- (x, y) horizontal Cartesian coordinates
- *z* vertical coordinates, positive upward
- z_b seabed elevation
- t time
- η mean free surface elevation
- $\hat{\mathbf{u}}$ quasi-Eulerian horizontal velocity vector, with Cartesian components: $\hat{\mathbf{u}} = (\hat{u}, \hat{v})$
- \hat{w} quasi-Eulerian vertical velocity
- ν vertical eddy viscosity [m².s⁻¹]
- g acceleration of gravity [m.s⁻²]
- **F** forcing terms [m.s⁻²]: wave forces, baroclinic gradient, horizontal viscosity, Coriolis, earth tidal potential and atmospheric pressure

A key feature of SCHISM is the treatment of the advection term in Eq. 4 by an Eulerian-Lagrangian method, which relaxes the numerical stability constraints of the model (Zhang et al., 2016). The hydrodynamic solver of SCHISM requires Courant-Friedrichs-Lewy (CFL) numbers greater than 0.4 which, with a spatial resolution of O(m), allows for timesteps of O(s) in surf zone applications. The wind forcing enters as a boundary condition at the sea surface, where SCHISM enforces a balance between the internal Reynolds stress and the applied wind shear stress (Zhang and Baptista, 2008). At the bottom, the frictional shear stress τ_b is represented with the following classic form:

$$\boldsymbol{\tau}_{\mathbf{b}} = C_D |\hat{\mathbf{u}}_{\mathbf{b}}| \hat{\mathbf{u}}_{\mathbf{b}} \tag{5}$$

where C_D is the bottom drag coefficient (Blumberg and Mellor, 1987) and $\hat{\mathbf{u}}_{\mathbf{b}}$ is the quasi-Eulerian horizontal 256 velocity vector at the top of the bottom cell. In practice, the bottom shear stresses intervene in the balance 257 with the internal Reynolds stresses inside the turbulent boundary layer (Zhang et al., 2016). In a typical 258 surf zone situation, where both $\hat{\mathbf{u}}_{\mathbf{b}}$ and the depth-averaged current velocity vector $\hat{\mathbf{U}}$ are seaward-oriented 259 (e.g., see Pezerat et al., 2022), the VF formalism will hence naturally account for the contribution from the 260 cross-shore component of τ_{b} to the wave setup. This contrasts with the radiation stress formalism, where 261 the cross-shore Lagrangian depth-integrated velocity is null. As a consequence, the bottom shear stress 262 contribution to the wave setup is not naturally incorporated with the radiation stress formalism. 263

Given the spatial scale of our nearshore application (~ 1 km-long in the cross-shore direction, up to 8 m depth) and the absence of estuaries, we here neglect baroclinic forces. Similarly, horizontal viscosity, the earth tidal potential and atmospheric pressure are not applied here (the latter two being unneeded since we use locally-sourced water levels that already incorporate surges). In the nearshore region, the contribution from surface gravity waves to **F** - here denoted $\mathbf{F}^w = (F^w_x, F^w_y)$ - is the dominant term. With the VF formalism, the two components of the wave forces F^w_x and F^w_y can be decomposed into conservative (adiabatic) and non-conservative (dissipative) components as follows (Bennis et al., 2011):

$$F_x^{\rm w} = v^{\rm st} \left[f_C + \left(\frac{\partial \hat{v}}{\partial x} - \frac{\partial \hat{u}}{\partial y} \right) \right] - w^{\rm st} \frac{\partial \hat{u}}{\partial z} - \frac{\partial J}{\partial x} + F_x^{\rm br} + F_x^{\rm fr}$$
(6)

$$F_{y}^{w} = -u^{st} \left[f_{C} + \left(\frac{\partial \vartheta}{\partial x} - \frac{\partial \hat{u}}{\partial y} \right) \right] - w^{st} \frac{\partial \vartheta}{\partial z} - \frac{\partial J}{\partial y} + F_{y}^{br} + F_{y}^{fr}$$
(7)

where f_C is the Coriolis parameter, *J* is the wave-induced mean pressure, \mathbf{F}^{br} is the non-conservative forces due to depth-induced wave breaking (Bennis et al., 2011; Guérin et al., 2018) while \mathbf{F}^{fr} is the bottom streaming represented with the approach of Uchiyama et al. (2010). The expressions for all conservative terms of the wave forces are recalled in Appendix A.

275 3.2. Spectral wave modelling

The wave forces (Eq. 6 and 7) are computed within WWM-II, a third-generation spectral wave model that simulates the generation, propagation and transformation of short waves (Roland et al., 2012). The wave model is fully-coupled to the hydrodynamic core of SCHISM at the code level, and both models share the same unstructured grid and domain decomposition, avoiding interpolation errors during the exchange of variables (mainly η , \hat{u} , \hat{w} , \mathbf{u}^{st} , w^{st} and \mathbf{F}^{w}).

²⁸¹ WWM-II solves the following equation for the conservation of the wave action $N(\sigma, \theta)$ (*e.g.*, see Komen ²⁸² et al., 1994):

$$\frac{\partial N}{\partial t} + \nabla \cdot (\mathbf{c_g} + \mathbf{\hat{U}}) N + \frac{\partial}{\partial \sigma} (c_{\sigma} N) + \frac{\partial}{\partial \theta} (c_{\theta} N) = \frac{S}{\sigma}$$
(8)

283 where:

28

 σ relative wave frequency ($\sigma = 2\pi f$, with *f* the wave frequency)

- θ wave direction
- **c**_g wave group velocity vector; **c**_g = $c_g (\cos \theta, \sin \theta)$ where c_g is the wave group velocity taken from linear wave theory
- c_{σ} advection speed in the σ -space
 - c_{θ} advection speed in the θ -space
 - N wave action density spectrum, related to the wave energy density spectrum E by $N = E/\sigma$
 - $\hat{\mathbf{U}}$ depth-integrated quasi-Eulerian horizontal velocity vector ($\hat{\mathbf{U}} = (\hat{U}, \hat{V})$)
 - *S* source terms

S incorporates source and sink terms that affect waves at every stage of their propagation (Roland et al., 286 2012). Though the spatial scale of our application is small ($\sim 1 \text{ km-long}$ in the cross-shore direction), the 287 energy input from the wind S_{in} is modelled with the parameterizations of Ardhuin et al. (2010). The source 288 term for whitecapping S_{wc} , and its related contribution to the vertical mixing are neglected here since the 289 dissipation mainly occurs through depth-induced breaking. Non-linear interactions between quadruplets 290 (S_{nl4}) are modelled following Hasselmann et al. (1985) while the approach of Eldeberky (1996) is used to 29 estimate non-linear interactions between triads of frequencies (S_{nl3}). The energy dissipation via bottom 292 friction is modelled with the SHOWEX parameterization (Ardhuin et al., 2003) using mean grain diameters 293 estimated during the field campaigns. The parameterization for the depth-induced wave breaking source 294 term S_{br} is described next, along with the surface roller model recently implemented in SCHISM. 295

²⁹⁶ 3.3. Depth-induced wave breaking and surface roller model

The formulation of van der Westhuysen (2010) is used to model the wave breaking-induced energy dissipation ϵ_w . This parameterization is based on a phase-averaged approximation of the biphase \mathcal{B}_p of self-interacting components at the peak frequency (Eldeberky, 1996) and reads:

$$\epsilon_w = \frac{3}{16\sqrt{\pi}} \rho g \overline{f} B \left(\frac{\mathcal{B}_p}{\mathcal{B}_{ref}} \right)^n \frac{H_{rms}^3}{h},\tag{9}$$

where \overline{f} is the mean centroid frequency ($\overline{f} = 1/T_{m01}$), *B* is a breaking coefficient, \mathcal{B}_{ref} is the biphase at which all waves are considered broken and H_{rms} is the root-mean square wave height computed from the significant wave height H_{m0} as $H_{m0}/\sqrt{2}$ (van der Westhuysen, 2010). After some calibration against field data, the breaking criterion \mathcal{B}_{ref} was set to -1.25 (default is $-4\pi/9 = -1.39$) while the value of n = 2.5 as proposed by van der Westhuysen (2010) was retained. The beach slope-dependent parameterization for the breaking coefficient *B* introduced by Pezerat et al. (2021) is used in order to better reproduce the incident wave transformation on the seaward side of the sandbar system at Duck. In the absence of knowledge on the frequency-dependence of the energy dissipation by breaking, ϵ_w is spread in frequencies and directions in proportion of the corresponding energy in order to define the source term S_{br} , following Eldeberky and Battjes (1996).

The rate of wave energy dissipation during breaking ϵ_w directly controls the growth of surface rollers, which are turbulent masses of mixed air and water advected by breaking waves that contribute to the mean circulation of the surf zone (Svendsen, 1984b; Deigaard et al., 1991; Stive and de Vriend, 1994). The evolution of surface rollers bulk energy E_r is here modelled following Reniers et al. (2004):

$$\frac{\partial E_r}{\partial t} + 2\nabla \cdot (\mathbf{c_p} + \hat{\mathbf{U}}) E_r = \alpha_r \epsilon_w - \epsilon_r, \tag{10}$$

where c_p is the wave phase velocity vector corresponding to the (continuous) peak frequency (c_p = 314 $c_p(\cos \theta_m, \sin \theta_m)$ in which c_p is determined from the linear wave dispersion relation and θ_m corresponds 315 to the mean wave direction), $\alpha_r \in [0, 1]$ is a parameter controlling the efficiency of energy transfers from 316 breaking waves to rollers and ϵ_r is the rate of energy dissipated through shear stresses at the wave/roller 317 inner interface (e.g., see Duncan, 1981; Deigaard and Fredsøe, 1989). Surface rollers also dissipate some 318 energy through mass exchanges at the wave/roller interface (see Appendix by R. Deigaard in Stive and de 319 Vriend, 1994), which explains the factor 2 in the advection term. The dissipation term ϵ_r can be expressed 320 as a function of both wave and roller properties (e.g. roller length or area, see Duncan, 1981; Svendsen, 321 1984b; Deigaard et al., 1991), however, significant uncertainties exist regarding the roller area formulations 322 and the void ratio in rollers (Martins et al., 2018). More conveniently, ϵ_r is directly written as a function of 323 the roller energy E_r and the angle β_r at the wave/roller inner interface, following Reniers et al. (2004): 324

$$\varepsilon_r = \frac{2g\sin\beta_r}{c_p}E_r\tag{11}$$

The contribution M_r from surface rollers to the total mass flux is simply related to the roller energy as $M_r = 2E_r/c_p$ (*e.g.*, see Reniers et al., 2004). Although in theory this transport primarily occurs near the surface, above through level, there is no consensus on its vertical distribution. We here choose to apply the roller contribution to the total Stokes drift velocities $\mathbf{u}_r^{\text{st}} = M_r (\cos \theta_m, \sin \theta_m) / \rho h$, with ρ the mean water density, in a depth-uniform manner.

The present roller model only has two parameters: α_r , which controls the growth of the surface roller, 330 and $\sin \beta_r$, which controls the energy dissipation rate in the roller. Similar to most studies using fully 331 coupled 3D wave-current interaction models, $\alpha_r = 1$ (*i.e.* full conversion) is the present choice since it 332 provided the most accurate results when assessed against field data. We can note, however, that lower 333 values have been used in models that included non-linear wave effects in the surf zone (e.g., $\alpha_r = 0.65$ 334 taken in Michallet et al., 2011). Similarly, the common value of 0.1 for $\sin \beta_r$ is also retained here. This 335 corresponds to mean angles of the wave/roller inner interface $\beta_r \sim 5.7^{\circ}$. This value might appear small 336 but it should be stressed that β_r refers to the roller inner interface, and not the surface roller angles at the 337 air/roller, which can be much higher (by up to a factor 4, e.g., see Martins et al., 2018). 338

Eq. 10 is solved explicitly in time with a slightly different numerical approach than that described 339 in Roland et al. (2012). The geographical advection is performed with the N-scheme, which belongs to 340 the Residual-Distribution framework described in Abgrall (2006). No time splitting is performed and the 341 source terms (right-hand side of Eq. 10) are directly integrated during the sub-iterations of the advection, 342 following Deconinck and Ricchiuto (2007, their Eq. 27). Besides the fact that this integration method is 343 relatively simple to implement, it has two main advantages: 1) there are no splitting errors associated with 344 this approach and, 2) since the local timestep is dictated by the advection, the CFL condition related to 345 the integration of source terms (e.g., see Hargreaves and Annan, 2001) is always naturally fulfilled, which 346

³⁴⁷ makes the integration process accurate and stable.

The expression for the source of quasi-Eulerian momentum due to depth-induced wave breaking is directly defined from ϵ_w and ϵ_r as follows:

$$\mathbf{F}^{\mathrm{br}} = f_{br}(z) \frac{\epsilon_r}{\rho c_p} (\cos \theta_m, \sin \theta_m) - f_{br}(z) \frac{g}{\rho} \int_0^{2\pi} \int_0^{\infty} (1 - \alpha_R) \frac{S_{br}}{\sigma} \mathbf{k} \, d\sigma d\theta \tag{12}$$

where $f_{br}(z)$ is an empirical function distributing the momentum related to wave breaking along the vertical. In the present study, the forcing is applied as a surface shear stress (Deigaard, 1993), *i.e.* with $f_{br} = 1$ in the upper layer and 0 elsewhere. In the radiation stress formalism, the contribution from surface rollers was represented following the approach of Apotsos et al. (2007).

354 3.4. Vertical mixing and wave-enhanced turbulence

Breaking waves produce significant quantities of turbulent kinetic energy \mathcal{K} at the sea surface, which 355 can then penetrate deep into the water column (e.g., see Stive and Wind, 1982; Ting and Kirby, 1995; 356 Terray et al., 1996). Accounting for this source of turbulent kinetic energy at the surface is critical for 357 accurately modelling the vertical mixing, which controls the vertical shear of currents in the nearshore 358 region. One-dimensional (vertical) turbulence closure models have been successfully applied to represent 359 the effects of wave breaking on the vertical mixing (Craig and Banner, 1994; Burchard, 2001; Feddersen and 360 Trowbridge, 2005) so that their use in 3D nearshore hydrodynamic models is now widespread (Newberger 361 and Allen, 2007b; Kumar et al., 2012; Moghimi et al., 2013, 2016; Delpey et al., 2014). Here, we use a 362 similar approach as that of Moghimi et al. (2016) to simulate the production and decay of \mathcal{K} across the 363 water column. This approach relies on the generic length scale (GLS) two-equation turbulence closure 364 model of Umlauf and Burchard (2003), implemented within the General Ocean Turbulence Model (GOTM) 365 coupled with SCHISM. The choice of model parameters is made so that the \mathcal{K} - ω model of Wilcox (1988) 366 is recovered, where ω is the specific dissipation rate, related to \mathcal{K} and the turbulence dissipation rate 367 ϵ_{tke} by $\omega = \epsilon_{tke}/(0.3^2 \mathcal{K})$. The eddy viscosity ν , which controls the vertical mixing in the hydrodynamics 368 module (see Eq. 4), is then computed as $v = (0.3\mathcal{K})^{1/2}l$, where *l* is the turbulence mixing length defined as 369 $l = (0.3\mathcal{K})^{3/2} / \epsilon_{tke}.$ 370

The production of turbulent kinetic energy by breaking waves is modelled through a flux-type boundary condition at the surface, following Feddersen and Trowbridge (2005):

$$\frac{\nu}{\sigma_{\mathcal{K}}} \frac{\partial \mathcal{K}}{\partial z} = F_{tke} \left(\frac{z_0^s - z^t}{z_0^s} \right)^{\frac{s}{2}\alpha} \text{ at } z = \eta$$
(13)

where F_{tke} (in m³/s³) is the turbulent kinetic energy injected at the sea surface, $\sigma_{\mathcal{K}}$ is the turbulent Schmidt 373 number ($\sigma_{\mathcal{K}} = 2$ for the \mathcal{K} - ω model), $\alpha = -2.53$ is the partial decay rate of \mathcal{K} in the wave enhanced layer, 374 z_0^s is the surface roughness length and z^t is the elevation corresponding to the middle of the top cell (where 375 the flux is actually applied). The flux of turbulent kinetic energy injected at the surface is dictated by the 376 intensity of wave breaking processes through $F_{tke} = c_{br} [\epsilon_w + \epsilon_r] / \rho$, where c_{br} is a coefficient controlling 377 the amount of energy to be injected (ranging between 0.01-0.25, e.g., see Feddersen and Trowbridge, 2005; 378 Huang et al., 2009; Feddersen, 2012). Note that other authors use a factor $(1 - \alpha_r)$ before ϵ_w , while we 379 here consider that both breaking waves and rollers contribute to $\mathcal K$ injection at the surface. The vertical 380 distribution of turbulent kinetic energy in the upper portion of the water column strongly varies with the 381 surface roughness length z_0^s . Although some dependency on the type of breakers or with the primary 382 wavelength are expected, the parameterisation of z_0^s remains poorly understood due to the difficulties in 383 measuring this quantity. Adopting the deep water parameterisation of Terray et al. (1996) to the nearshore 384 area, it is generally expressed as a function of the significant wave height: $z_0^s = \alpha_w H_{m0}$, with $\alpha_w = O(1)$ (Moghimi et al., 2016). Other studies take this parameter constant, e.g., $z_0^s = 0.1 \text{ m}$ in Craig and Banner 386

(1994) or $z_0^s = 0.2 \text{ m}$ in Feddersen and Trowbridge (2005). The influence of the choice of z_0^s on the vertical variation of $\hat{\mathbf{u}}$ will be analysed in Section 4.2.

389 3.5. Model implementation

The seaward extent of the model was taken at the cross-shore location corresponding to the 8-m pressure 390 array ($x \sim 870$ m in the FRF reference system, see Figure 1). The horizontal resolution of the unstructured 39 computational grid is constant over the upper beach region (resolution of 3 m up to $x \sim 145$ m), and then 392 decreases almost linearly in the cross-shore direction to reach 35 m at the offshore limit. The vertical is discretized with 30 S-levels, with increased resolution at the surface and near the bottom (e.g., bottom 394 and top layer thickness of 0.005 m at the bar crest). This choice is typical for nearshore applications of 395 3D hydrostatic ocean modelling systems, providing a good balance between computational efficiency and 396 accurate reproduction of the breaking wave-induced turbulent kinetic energy near the surface. For both 397 events considered here, the topo-bathymetric data collected with the CRAB on the same day (Duck94) 398 or a few days earlier (SandyDuck) were linearly interpolated on the computational grid (no smoothing 399 used). Note that we systematically use Mean Sea Level (MSL) as vertical datum, which corresponds to 400 North American Vertical Datum of 1988 (NAVD88) minus 0.128 m at Duck. The wave effects on the bottom 401 shear stress is modelled following Soulsby (2005), with a bottom roughness length of 0.001 m, which 402 corresponds to the best-fit results of Uchiyama et al. (2010). In the following, the importance of resolving 403 the depth-varying surf zone circulation in wave setup predictions is assessed by comparing 2DH and 3D 404 simulations. To ensure a consistent comparisons between such model configurations in terms of bottom 405 drag coefficient C_D , we follow the approach of Zheng et al. (2013), which uses the relation between the 406 Manning coefficient n in 2DH with the bottom roughness z_0 taken in 3D (Bretschneider et al., 1986). 407

The offshore wave forcing corresponds to hourly wave directional spectra estimated from the 15 408 pressure gauges that constitute the 8 m array (see Fig. 1 for the location and Long, 1996, for more details). 409 At the offshore boundary, we also impose the water levels measured at the pier by the NOAA tidal station 410 every 6 minutes. As winds are measured at a height of 18.8 m above the pier, wind speeds at 10 m were 411 obtained assuming a logarithm vertical profile and a sea surface roughness of $z_{0,w} = 0.0095 \text{ m}$ (obtained 412 by WWM-II), and were taken constant over the whole domain. Periodic type of boundary conditions are 413 applied at the lateral boundaries (North and South of the field site) for both wave and hydrodynamic 414 modules, which is essential for accurately reproducing the cross-shore distribution of longshore currents 415 along this relatively straight and uninterrupted coastline. Finally, the time step for the circulation model 416 is set to 2 s whereas WWM-II runs in implicit mode with a time step of 10 s (Roland, 2009). The spectral 417 space used 24 frequencies ranging from 0.05 to 0.45 Hz while a resolution of 2.5° was used to discretize the 418 directions that spanned from 345° to 135°. 419

420 4. Assessment of the modelling system during Duck94

This Section aims at assessing the ability of the modelling system SCHISM in its *baseline* configuration (3D-VF) to simulate the transformation of directionally-broad short waves across the surf zone and the associated water levels and depth-varying mean currents. The dataset collected on the 12 October 1994 during Duck94 and presented in Section 2.1 is used for this purpose. The cross-shore transformation of incident waves and the contribution of surface rollers are first examined in Section 4.1. The depth-varying circulation and its sensitivity to the vertical mixing parametrisation are then addressed in Section 4.2.

427 4.1. Wave transformation and depth-averaged circulation

Fig. 4d shows, at the time corresponding to sled run #3, that WWM-II accurately predicts the crossshore transformation of incident waves. The rapid decrease of significant wave height H_{m0} landward of $x \sim 290$ m suggests that the dissipation of incident wave energy principally occurs via depth-induced breaking over the prominent sandbar located around $x \sim 250$ m (Fig. 4a and 4c). Normalised root-mean

square discrepancies (NRMSD) for H_{m0} during this specific sled run are around 6% (see Table 2). NRMSDs 432 for all sled runs are between 6 and 10%, which confirms that the model also captures well the transition 433 from a low- (run #1) to high-tide situation (runs #4 and #5). Excluding the first two sensors from this 434 computation leads to NRMSD < 4% for most runs. The experimental dataset used for this assessment was 435 collected along the y = 930 m transect (Elgar et al., 1997), which is located approximately 25 m northwards 436 of that where the sled experiment took place (Fig. 1). While the beach profile was mostly alongshore-437 uniform on the 12 October (see Fig. 1), the upper section of the beach did exhibit some alongshore 438 variability, with the beach face at y = 930 m being located slightly more landwards. This explains, at least 439 in part, the slight over-dissipation of incident wave energy observed around $x \sim 135$ m (Fig. 4d). 440

While depth-induced breaking ceases rapidly once incident waves transition to the trough (see the 441 abrupt decrease of ϵ_w/ρ starting around $x \sim 250$ m in Fig. 4c), surface rollers gradually dissipate the 442 energy gained over the sandbar. This process is partly responsible for the shoreward translation of the 443 depth-integrated alongshore current peak and the enhanced current magnitude over the trough region 444 (Fig. 4f). As discussed by Uchiyama et al. (2010), the vertically-varying VF also contributes to the landward 445 shift of maximal longshore velocity near the bar crest (compare 2DH and 3D simulations without rollers 446 in Fig. 4f). By shifting landwards wave breaking-induced forces, surface rollers also affect the cross-shore 447 distribution of wave setup by translating shorewards the point where the barotropic gradient $(\partial \eta / \partial x)$ is 448 largest in magnitude (Apotsos et al., 2007), and by increasing the setup in the trough region by \sim 5% (Fig. 440 4b). From these comparisons, we also note that the predicted wave setup is greater when representing 450 the surf zone depth-varying circulation in both the trough region (by 5-8%) and at the shoreline ($\sim 25\%$ 451 over the 1:12 foreshore), which is consistent with the conclusions from Guérin et al. (2018). This will be 452 further analysed in Section 5 using the SandyDuck dataset. The good match observed along the cross-shore 453 transect between \widehat{U} and $-(U^{st} + U_r^{st})$ (Fig. 4e) suggests that the cross-shore quasi-Eulerian mean current 454 (seaward-oriented return current) compensates for the onshore-directed mass transport associated with 455 incident waves and rollers, which is expected given the near longshore-uniform situation. Surface rollers 456 significantly contribute to the mass transport in the surf zone (up to 25% over the sandbar), as evidenced 457 by the enhanced depth-averaged cross-shore current velocities compared to the simulation without rollers 458 (Fig. 4e). 459

460 4.2. Depth-varying surf zone circulation

Fig. 5 presents the vertical distribution of cross-shore (panel a) and longshore (panel b) current velocities during the seven sled runs on 12 October. The *baseline* simulation is compared to a simulation that does not account for the effects of surface rollers in order to further illustrate their contribution to the surf zone

Table 2: Normalized root mean square discrepancy (NRMSD) of significant wave height H_{m0} , mean surface elevation η , cross-shore \hat{u} and longshore \hat{v} velocities modelled during the 12 October sled experiments. The performaces of two simulations are quantified here: the baseline 3D-VF simulation that includes the effects of surface rollers and the 3D-VF simulation without it. The NRMSD (in %) are computed as $100x \left[\sum_{i=1}^{N} (d_i - m_i)^2 / \sum_{i=1}^{N} d_i^2\right]^{1/2}$ where *N* is the number of sensors, d_i is the datum measured at sensor *i* while m_i is the modelled one. NRMSD for H_{m0} are computed using the cross-shore array of pressure sensors at $y \sim 940$ (Elgar et al., 1997) at the mean time corresponding to the specific sled run. For the wave setup NRMSD, the error was normalised by the tidal range measured during the experiments (0.8 m).

Sled runs		#1	#2	#3	#4	#5	#6	#7
	H_{m0}	10.0	9.0	6.1	6.2	6.7	7.0	7.6
With rollers	η	3.2	4.4	10.7	0.7	12.6	2.5	0.3
	û	29.2	28.1	16.7	23.5	9.9	35.6	35.0
	$\hat{\upsilon}$	5.0	22.0	11.2	26.5	22.5	12.4	12.8
Without rollers	η	3.6	3.2	8.7	1.7	13.0	1.7	2.0
	û	44.6	38.6	16.6	50.3	47.5	36.6	26.9
	$\hat{\mathcal{O}}$	18.9	37.4	18.6	28.3	36.9	18.0	14.8



Figure 4: Range of bulk and depth-integrated quantities simulated during sled run #3 of October 12th, DUCK94. Panel a) shows the beach topography relative to Mean Sea Level (MSL). The Mean Water Level (MWL) during run #3 is also shown as black dashed line (mean offshore surface elevation $\eta_0 = 0.53$ m). Panel b) compares the wave setup ($\eta - \eta_0$) computed using the 2D radiation stress (2D-RS) formalism or the 3D vortex force formalism (3D-VF), and with or without the effects of surface rollers. The simulated significant wave height H_{m0} are compared with data from Elgar et al. (1997) in panel d) while the associated energy dissipation (divided by ρ) is shown in panel c). Simulated cross-shore and longshore depth-averaged currents are shown in panels e) and f), respectively.

3D circulation. At each cross-shore location corresponding to a sled run, observations represent a 10-min 464 average of current velocities. Overall, the baseline simulation demonstrates a fairly good agreement with 46 observations, with NRMSD similar to those obtained in previous studies that used synthetic forcing (see 466 Table 2). Predicted mean surface elevations (Fig. 5b) compare fairly well with estimates derived from a 467 sled-mounted pressure transducer (NRMSD within 12% for each run, see Table 2). Note that these errors 468 include uncertainties on both the seabed elevation and that of the sensor above the seabed, since the sled 469 structure potentially buried by a few cm. The intensity and vertical distribution of longshore currents 470 (Fig. 5b) are well reproduced with NRMSD ranging from 5 to 25%. As in other studies (Newberger and 471 Allen, 2007b; Zheng et al., 2017), the current magnitude is underestimated during runs #4 and 5, which 472 remains unexplained. Representing surface rollers only has a minor effect on the cross-shore distribution 473 of alongshore currents in the trough, however, their magnitude is reduced by as much as 15% on and 474 seaward of the bar crest, leading to a better match with observations (Table 2). The effect of surface rollers 475 on cross-shore current velocities is more pronounced, with enhanced mass transport at the bar crest (run #3 476 in Fig. 5a), and much more vertically-sheared and intense return currents at the locations corresponding 477 to sled runs #4 and #5 (NRMSD of \hat{u} consistently improved, see Table 2). The latter is explained by the 478 combined effect of more intense forcing applied at the surface when rollers are represented (e.g., see Fig. 479 4c) and the enhanced mixing at these cross-shore locations (see Fig. 5c-d). 480

The comparison of cross-shore current velocities \hat{u} with observations shows contrasting characteristics across the monitored beach profile (Fig. 5a). At locations #3 and #5, \hat{u} is very accurately predicted (NRMSD \leq 17%), both in terms of vertical distribution (shear) and magnitude. The discrepancies at #4



Figure 5: Comparison of modelled cross-shore (a) and longshore (b) current velocities against observations collected on the sled structure, with (black lines) or without (red lines) the effects of surface rollers. \hat{u} and \hat{v} are positive to the East and North, respectively. Error bars on observation data points represent one standard deviation of the 10-min window-averaged current velocities computed over the whole sled run. The simulated mean surface elevations are compared in panel b) with observations derived from a pressure transducer (#22) mounted on the sled structure. Panels c) and d) show the simulated vertical distribution of TKE and eddy viscosity v, respectively. The cross-shore location of each sled run is shown as the thin vertical line above the corresponding sled run number.

are found in most studies employing this dataset and remain, to the best of our knowledge, unexplained. 484 Seaward of the bar crest, a significant amount of incident wave energy is dissipated through depth-induced 485 breaking (Fig. 4c). Despite the strong injection of TKE at #1 and #2 and the associated mixing (Fig. 5c-d), 48 the modelled profiles of \hat{u} appear overly sheared at these locations, leading to an overestimation of the 487 seaward-oriented current near the bottom. A similar observation can be made at #6 and #7 though the 488 wave breaking-induced forcing is weaker in the trough region. Considering the correct representation of 489 longshore currents at these locations, this suggests that the vertical mixing is underestimated in the present 490 modelling approach. 491

Fig. 6 investigates the sensitivity of the model to the choice of the surface mixing length z_0^s at the 492 positions corresponding to run #3 (panels a-d) and #6 (panels e-h). Over the sandbar, the choice of z_0^s has a 493 negligible effect on the intensity of longshore currents \hat{v} (Fig. 6b), whereas in the trough region (Fig. 6f), \hat{v} 494 weakens with increasing surface mixing length (Feddersen and Trowbridge, 2005). In contrast, the vertical 495 distribution of \hat{u} appears more sensitive to the choice of z_0^s at both locations. For z_0^s taken constant at 0.2 m 496 (Feddersen and Trowbridge, 2005), the injected TKE does not penetrate deeply into the water column (Fig. 497 6c and 6g), yielding a weak vertical mixing near the surface (an order of magnitude difference compared to 498 $z_0^s = 1.2H_{m0}$, see Fig. 6d and 6h). This results in unrealistically large onshore-directed currents at the surface 499 $(\hat{u} \sim -1.2 \text{ m/s at #3})$ and overestimated return currents near the bottom (Fig. 6a and 6e). Although at #3, 500 the baseline model ($z_0^s = 1.2H_{m0}$) provides the most accurate predictions of \hat{u} , the vertical mixing is clearly 501 insufficient for describing the relatively depth-uniform cross-shore velocities at #6, even with the largest 502 values of z_0^s reported in the literature ($z_0^s = 1.5H_{m0}$). The presence of large shear waves on the 12 October 503 possibly contributes to the vertical mixing, a process which is not accounted for in the present modelling 504 approach. Shear waves appear as very-low frequency oscillations in the 10-min averaged current velocity 505 timeseries (see standard deviation in data points, Fig. 6), whose amplitude vary between 0.1 m/s at #1 506 and 0.2 m/s at #6 on this day. These are ubiquitous at the Duck site when energetic waves arrive with a 507



Figure 6: Sensitivity analysis of the simulated vertical distribution of \hat{u} (a, e), \hat{v} (b, f), TKE (c, g) and v (d, h) to the surface mixing length z_0^s . The analysis is performed at the locations corresponding to sled run #3 (sandbar, upper panels) and #6 (trough region, lower panels). As in Fig. 5, error bars on observation data points represent one standard deviation of the 10-min window-averaged current velocities computed over the corresponding sled run. Additional relevant wave parameters are given in panels a) and e) for sled runs #3 and #6, respectively.

relatively large incidence angle, causing shear instabilities of the surf zone mean longshore current (*e.g.*, see
 Oltman-Shay et al., 1989; Noyes et al., 2004). The presence of wave groups, not represented in the present
 phase-averaged modelling approach, could also enhance the vertical mixing through their influence on
 the mean breakpoint cross-shore location (Symonds et al., 1982).

512 5. Analysis of wave setup dynamics during SandyDuck

In the previous Section, the modelling system SCHISM demonstrated excellent skills in reproducing the 513 cross-shore transformation of directionally-broad waves and the associated depth-varying mean circulation 514 in the surf zone. The predictions of wave setup computed with 2D-VF and 3D-VF approaches varied quite 515 substantially during Duck94 (Fig. 4b), with differences ranging from 5-10% in the trough region and up 516 to 25% closer to shore. However, the pressure data collected during this specific campaign did not allow 517 the estimation of wave setup with sufficient accuracy for carefully verifying the present model's ability 518 to reproduce it (Lentz and Raubenheimer, 1999). In this Section, our strategy is to use the data collected 519 during the SandyDuck event described in Section 2.2 (13-14 November 1997, see Fig. 3) to study the wave 520 setup dynamics at this site. As mentioned in Section 2.2, this event includes the largest underestimations 521 of wave setup reported by Apotsos et al. (2007) at the shoreline with 2DH approaches based on Eq. 1. 522

The ability of the 3D-VF *baseline* configuration (see Section 4) to reproduce the cross-shore evolution of the wave setup is first assessed in Section 5.1 during both high- and low-tide situations (hereafter HT and LT, respectively). Two distinct 4h-long runs are performed for each case, with the final time step being used for the analysis (0:30AM for HT; 6:20PM for LT). The results obtained with the 3D-VF *baseline* configuration are compared with simulations performed in 2DH with both the Vortex-Force formalism (2D-VF) and the radiation stress formalism (2D-RS). Comparing 2D-3D configurations with the VF formalism helps quantifying by how much wave setup predictions can be improved when the depth-varying surf zone circulation is resolved. The comparison with the 2D-RS configuration allows a comparison with common
 approaches in storm surge modelling at regional scales (*e.g.*, Dietrich et al., 2011), which is close to the
 approach used by Raubenheimer et al. (2001) for simulating the wave effects on currents near the shoreline.
 The accuracy of the modelling system for reproducing the wave setup cross-shore repartition during both
 HT and LT then allows us to analyse in Section 5.2 the contributions of the different terms in the momentum
 equations to the observed mean water elevations.

536 5.1. Model assessment for the 14 November event

In the surf zone, the wave forces associated with depth-induced breaking processes are the dominant 537 forcing term for the wave setup and its cross-shore evolution (e.g., see Guérin et al., 2018; Lavaud et al., 538 2022). It is thus essential to accurately reproduce the cross-shore evolution of wave heights in order to 539 reduce as much as possible the bias in wave setup predictions owing to the wave forcing. During Duck94, 540 the incident wave conditions estimated at the 8 m array allowed to describe the cross-shore evolution of 541 significant wave heights with relative good accuracy (NRMSD between 6 and 10% depending on the tidal 542 elevation, see Table 2). Since these errors were primarily explained by the two sensors located near the 543 shoreline, this accuracy was sufficient for accurately reproducing the surf zone mean circulation and its 544 vertical repartition (Fig. 5). This was not the case for the wave setup predictions during SandyDuck so that 545 small calibrations were made to both the wave forcing taken from the 8 m array (see Section 2.3) and the 546 wave breaking parametrisation: the default coefficient in the biphase definition of Eldeberky (1996) was 547 adjusted to 0.19 (instead of 0.2) while the coefficient of the adaptive breaker parameter was adjusted to 45 548 (instead of 40, see Pezerat et al., 2021). With these adjustments, the cross-shore evolution of the significant 549 wave heights could be reproduced with NRMSD $\leq 5\%$ and almost no bias (normalised bias |NB| $\leq 2\%$) for 550 both the HT and LT events (Fig. 7a and 7b, respectively). 551

On the 14 November 0:30AM (HT), H_{m0} reached 3 m at the 8 m array, corresponding to the storm peak 552 (see Fig. 3b). Wave breaking already occurred at the most seaward wave gauge p72 (x = 500 m), and 553 the gradual decrease of incident wave energy shown in Fig. 7a indicates that it never ceased until shore. 554 The intensity of wave breaking processes is moderate up to $x \sim 300$ m, due to the mild slope, leading to 555 a wave setup of around 5-6 cm around the trough region (x = 250 - 300 m). In contrast, wave breaking is 556 weak over the same region during LT (Fig. 7b) due to the milder incident wave energy conditions, which 557 led to a wave setup that did not exceed 1-2 cm (Fig. 7d). As incident short waves transitioned to the 558 steeper section of the beach (x = 150 - 225 m, 1:30 beach slope), the intensity of wave breaking processes 559 was more intense and associated with a rapid increase of the wave setup during both HT and LT (Fig. 7c 560 and 7d, respectively). For both HT and LT situations, the 3D-VF approach better captures the cross-shore 561 distribution of the wave setup, with NRMSD < 15% and $|NB| \leq 5\%$ overall. 562

Representing the depth-varying nearshore circulation improves the wave setup predictions across the 563 whole surf zone in both HT and LT situations (Fig. 7c and 7d). During LT, the predictions by the 2D-564 VF and 3D-VF configurations are nearly identical up to x = 180 m, where wave breaking becomes more 565 intense and leads to increasing differences that reach their maximum at the shoreline (~15%), where the 566 beach is the steepest (1:14 slope). In contrast, the wider surf zone during HT explains why differences 567 between the 2D-VF and 3D-VF configurations are substantial up to x = 250 m. Over the rather steep 568 region between x = 160 - 250 m, the wave setup predictions differ by 5-7%, explaining the improved NB 569 obtained with the 3D-VF configuration overall. The wave setup predictions at the shoreline during HT are 570 11% smaller with the 2D-VF configuration compared to the 3D-VF one. Given the differences in terms of 571 wave heights and periods during both situations, this tends to confirm the findings of Guérin et al. (2018) 572 that differences in wave setup predictions at the shoreline between 2DH and 3D approaches are primarily 573 controlled by the beach slope. Compared to the 2D-VF configuration, the wave setup predictions are 574 strongly underestimated with the 2D-RS configuration: by 10-15% between x = 200 - 300 m during HT 575 and by nearly 20% at the shoreline in both situations (30% compared to the 3D-VF). This can be explained by 576



Figure 7: Assessment of the *baseline* 3D-VF configuration for simulating the cross-shore evolution of significant wave heights (a-b panels) and wave setup (c-d panels) during the high-tide (14 November 0:30AM; HT - left panels) and low-tide (14 November 6:20PM; LT - right panels) situations of the SandyDuck event considered here. The wave setup ($\eta - \eta_0$) is computed following Raubenheimer et al. (2001) and Apotsos et al. (2007) as the difference in the mean water surface elevation relative to q39 ($x \sim 445$ m). For LT, the red shaded region indicates the swash zone as identified in the phase-resolving SWASH simulations (see Appendix B). Panel e) shows the bathymetric profile relative to the MSL datum, along with the corresponding HT and LT mean water levels.

two main factors: 1) the cross-shore contribution from the bottom stress to the wave setup (Apotsos et al.,
2007), which is ignored in 2D-RS modelling approaches but naturally included with the VF formalism, and
2) differences owing to potential limitations of the radiation stress concept to represent non-linear waves
dynamics in the nearshore and in particular in the surf zone.
In Fig. 7d, the most landward data point at LT indicates a measured elevation relative to q39 of nearly

58 0.36 m, which is not reproduced by the model and is twice that measured around x = 120 m. Since this 582 data point is also located in a region of the beach considered dry by the 3D-VF baseline configuration, 583 with very little wave energy dissipation locally ($H_{m0} < 0.2 \,\mathrm{m}$), there is no obvious physical explanation 584 for this apparent underestimation of the wave setup at the shoreline with the present phase-averaged 585 approach. An investigation of the LT situation with the phase-resolving SWASH model (see Appendix 586 B) reveals that the most landward sensor was actually located within the swash zone. The data for this 587 sensor hence contains swash oscillations, which cannot be represented with a phase-averaged approach. 588 While resolving the depth-varying circulation with the VF formalism increases the prediction of the wave 589 setup at the shoreline by 40-45% (i.e. the above-mentioned 30% difference) compared to a 2D-RS approach 590

at both HT and LT, this cannot explain the underestimations by up to a factor 2 reported by Raubenheimer
 et al. (2001) and Apotsos et al. (2007) in very shallow water depths. The difficulty in disentangling swash
 and wave motions close to the shoreline over steep foreshores in the field might provide an explanation
 for the remaining discrepancies between phase-averaged modelling approaches and field observations.

595 5.2. Analysis of the cross-shore momentum balance

For both HT and LT situations of the SandyDuck event considered here (Fig. 7c and 7d), the performance 596 metrics obtained with the 3D-VF modelling approach are typically within the margin of errors in the 59 observations (Raubenheimer et al., 2001). The slightly larger errors and bias obtained during LT can be 598 explained by the underestimated setup around x = 170 m, which is also the case in the phase-resolving 599 simulation (see Fig. B1). Adjusting the surface mixing length z_0^s to $1.5H_{m0}$ (instead of $1.2H_{m0}$ for the 600 baseline model) improves the setup predictions at this specific location, but slightly deteriorates those at 601 the shoreline. This spatial variation of the influence of vertical mixing on wave setup predictions (Bennis 602 et al., 2014) might be explained by variations in breaking processes (e.g. breaking type varying between 603 spilling and plunging) that are not incorporated in the present parametrisation of z_0^s . The absence of 604 vertically-resolved current velocity measurements during SandyDuck prevents us to test this hypothesis 605 further in the present study but it remains an interesting perspective. The accuracy of the wave predictions 606 gives us great confidence for analysing the wave setup dynamics and the importance of accounting for 607 the depth-varying surf zone circulation. The various contribution to the simulated wave setup can be 608 analysed via a steady-state momentum balance in the cross-shore direction (Buckley et al., 2016; Guérin 609 et al., 2018; Lavaud et al., 2022): 610

$$\frac{\partial \eta}{\partial x} = \frac{1}{gh} \int_{z_b}^{\eta} \left(-\hat{u} \frac{\partial \hat{u}}{\partial x} - \hat{v} \frac{\partial \hat{u}}{\partial y} - \hat{w} \frac{\partial \hat{u}}{\partial z} + \frac{\partial}{\partial z} \left(v \frac{\partial \hat{u}}{\partial z} \right) + F_x^{\rm w} \right) dz \tag{14}$$

where z_b is the seabed elevation and we remind that v is the vertical eddy viscosity and F_x^w is the cross-shore 61 component of the wave forces (see Eq. 6). The spatial derivatives of the terms on the right-hand side of Eq. 612 14 were evaluated using the shape functions of the unstructured grid finite elements (directly within the 613 model), while we used simple finite differences for the vertical derivatives. The contribution of these terms 614 to the simulated wave setup is then estimated by spatially-integrating the corresponding term along the 615 cross-shore direction (Raubenheimer et al., 2001; Buckley et al., 2016; Guérin et al., 2018). For a consistent 616 comparison with the data, the initial point is taken at the cross-shore location corresponding to q39 (see Fig. 617 3a). For instance, the contribution of the wave force η_{wafo} to the modelled wave setup at the cross-shore 618 location *x*′ is computed as: 619

$$\eta_{\rm wafo}(x') = \int_{x_{q39}}^{x'} \int_{z_b}^{\eta(x)} \frac{F_x^w}{gh(x)} dz dx$$
(15)

The contributions from the horizontal cross-shore $(\eta_{\hat{u}})$ and longshore $(\eta_{\hat{v}})$ advection terms, the vertical 620 advection term $(\eta_{\hat{w}})$ and the vertical eddy viscosity term (η_{ν}) are computed similarly by spatially-integrating 621 the corresponding term in Eq. 14. The relative contribution of a given term in % is then computed as 622 100 times this term divided by the sum of all contributions. Since the contribution from the alongshore 623 advection was found negligible everywhere (< 0.3%), we neglect it hereafter. Before physically-interpreting 624 these contributions, it should be noted that the depth-varying circulation in the surf zone is the result of a 625 strong coupling between the intensity of breaking (major component of the wave forces in the surf zone), 626 the parametrisation of the vertical mixing and the resulting cross-shore mean currents. Thus, these terms 627 are still correlated to each other so that the individual contributions from depth-varying circulation terms 628 $(\eta_{\hat{u}}, \eta_{\hat{v}}, \eta_{\hat{w}}, \eta_{v})$ should be seen as an indicator of the improvement of wave setup predictions when the 629 vertical is resolved. 630

Fig. 8a-b display the cross-shore evolution of the contributions to the wave setup from the different right-hand side terms of Eq. 14 for HT and LT, respectively, while their relative contribution (in %) is

shown in Fig. 8c-d. For both situations, the good match between the sum of the individual contributions 633 and the setup simulated with the baseline 3D-VF approach indicates that the momentum balance closes 634 well and each term was computed accurately. The wave forces explain more than 80% of the computed 635 setup across the surf zone, but it is interesting to note that this contribution varies quite substantially in 636 the cross-shore direction (by up to 20%). At HT, the relative contribution η_{wafo} decreases where the beach 637 steepens (see between x = 200 - 250 m and x = 100 - 140 m in Fig. 8c), suggesting that the beach slope 638 dependence of the wave setup reported in the literature (e.g., see Bowen et al., 1968; Van Dorn, 1976) is 639 related to the depth-varying surf zone circulation. The wave setup predictions in the 2D-VF configuration 640 are mostly explained by the η_{wafo} relative contribution, with an additional contribution coming from the 641 bottom shear stress. At the shoreline, where the beach is the steepest (1:14), the depth-varying circulation 642 explains 18-20% of the computed wave setup, which is consistent with the results obtained over planar 643 beaches by Guérin et al. (2018) and Lavaud et al. (2022). Among the depth-varying circulation terms, the 644 vertical mixing term is dominant and accounts for 10-15% of the wave setup across the entire surf zone 645 (Fig. 8c-d). The contribution from the vertical advection term becomes important on the steepest section 646 of the beach and reaches 10% at the shoreline during HT. The horizontal advection term has a minor 647 impact on the predictions of wave setup, which concentrates around regions where the energy dissipation 648 rates vary strongly. The larger contribution of η_a found in Guérin et al. (2018) are likely explained by 649 the cruder parametrisation used by these authors for the vertical mixing, which resulted in much more 650 sheared currents (see also Fig. 6a for an example with insufficient breaking wave-induced mixing). 651

652 6. Concluding remarks and perspectives

Using a combination of field observations from past major campaigns (Duck94 and SandyDuck) and the application of a state-of-the-art phase-averaged 3D circulation modelling system, this study investigated the dynamics of wave setup on barred sandy beaches. A particular emphasis was given to quantifying



Figure 8: Contribution from the different right-hand side terms of Eq. 14 to the wave setup computed with the *baseline* configuration 3D-VF for HT (a) and LT (b), respectively. Their relative contribution (in %) is shown in panels c) and d) over a reduced spatial region for HT and LT, respectively. As mentioned previously, the wave setup ($\eta - \eta_o$) is computed following Raubenheimer et al. (2001) and Apotsos et al. (2007) as the difference in the mean water surface elevation relative to q39 ($x \sim 445$ m).

⁶⁵⁶ how much resolving the depth-varying surf zone circulation can impact and improve the predictions of ⁶⁵⁷ wave setup, especially close to the shoreline. The traditional benchmark of Duck94 (sled experiments of ⁶⁵⁸ the 12 October 1994, see Garcez Faria et al., 1998, 2000) was first revisited, and used to assess the ability of ⁶⁵⁹ the modelling system SCHISM to reproduce the depth-varying surf zone circulation during the ~ 9 h that ⁶⁶⁰ spanned the sled experiments. A sensitivity analysis of the mean cross-shore currents to the surface mixing ⁶⁶¹ length z_0^s revealed that the vertical shear is strongly controlled by the choice of z_0^s , whose parametrisation ⁶⁶² remains quite empirical and could focus research efforts in the future.

The wave setup dynamics was then studied using the data collected during the SandyDuck campaign 663 (Raubenheimer et al., 2001; Apotsos et al., 2007). Slight adjustments made to the parametrisation of 664 wave breaking processes helped improving the match between observed and modelled significant wave 665 heights. This improved representation of the wave energy dissipation by breaking, and its cross-shore 666 distribution, eventually led to very accurate predictions of wave setup across the whole beach profile with 667 our *baseline* 3D-VF configuration (NRMSD < 15%, |NB| $\leq 5\%$). A comparison with a 2D-VF configuration 668 confirmed the findings of Guérin et al. (2018): accounting for the depth-varying surf zone circulation 669 significantly increases and improves the predictions of wave setup across the surf zone, with a 10 - 15%670 difference at the shoreline on the steep foreshore during Sandyduck (slope in 1:14). Simulations during 671 the Duck94 campaign suggest that this difference can reach 25% on slightly steeper foreshores (slope in 672 1:12), when more wave energy can reach the shoreline (see Fig. 4). Though all terms from the 3D cross-673 shore momentum balance are clearly coupled, an analysis of their individual contribution to the simulated 674 wave setup revealed that the vertical mixing was the second most important contributor (10-15% across 675 the surf zone) after the wave forces (80-90%), followed by the vertical advection whose contribution 676 increases with the beach slope (up to 10% at the shoreline). Overall, this study highlights the need to 677 represent wave processes and the resulting depth-varying circulation at high-resolution near complex 678 shorelines in order to accurately reproduce the associated mean water levels and flooding risks. When 3D 679 approaches are not possible, the VF formalism should still be preferred over the traditional 2DH approach 680 based on the radiation stresses, for two principal reasons: 1) by resolving \hat{u} instead of u^l , the equations 681 of motions naturally incorporates the cross-shore contribution from the bottom shear stress to the wave 682 setup, and 2) the decomposition of the conservative and non-conservative forces (mostly breaking) removes 683 uncertainties associated with the estimation of radiation stresses in the surf zone based on linear wave 684 theory. 685

Finally, the improvements obtained with the 3D-VF approach were not sufficient to explain the under-686 estimation of the wave setup close to shore by up to a factor of 2 that are reported in Raubenheimer et al. 68 (2001) and Apotsos et al. (2007) with common 2DH radiation stress-based approaches (closely equivalent 688 to our 2D-RS configuration). Such severe underestimations only occur at the location of the pressure sensor 689 closest to shore (q29) during low tides. A phase-resolving numerical experiment revealed that this sensor 690 was most probably located in the swash region, and was thus affected by swash motions. Identifying 691 this discrepancy not only reveals the difficulty in measuring the wave setup close the shoreline on steep 692 beaches, but it underlines the need to further develop the capacity of phase-averaged modelling approaches 693 to predict extreme water levels at the shoreline. Indeed, phase-averaged models fully-coupled to oceanic 694 circulation modelling systems play a critical role in operational applications or in early-warning systems 695 worldwide (help for other references Khan et al., 2021). In this context, the present findings suggest that 696 modelling approaches relying on the Vortex-Force formalism (either 2D or 3D) should be preferred over the 697 radiation stress-based approach for improved predictions of water levels along wave-exposed coastlines. 698 Interesting perspectives also exist for incorporating swash statistics into phase-averaged models in order 699 to develop the capacity for these modelling systems to predict wave runup and hence extreme water levels 700 during storm conditions. 701

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718 Appendix A: Forcing terms for the quasi-Eulerian velocities

⁷¹⁹ Let us recall that the wave action density spectrum $N(\sigma, \theta)$ is related to the wave energy density spectrum

 $E(\sigma, \theta)$ by $N = E/\sigma$. In the following, the expressions for the different terms composing the wave forcing

- $_{721}$ term $F^{\rm w}$ are described.
- ⁷²² For random waves, the Stokes drift horizontal velocities can be expressed as:

$$\mathbf{u}^{\text{st}}(z) = \int_{0}^{2\pi} \int_{0}^{\infty} \sigma E(\sigma, \theta) \frac{\cosh(2k(\sigma)(z+h))}{\sinh^{2}(k(\sigma)(\eta+h))} \mathbf{k} \, d\sigma d\theta \tag{16}$$

where $k(\sigma)$ is the wavenumber determined from the linear wave dispersion relation and $\mathbf{k} = k(\sigma) (\cos \theta, \sin \theta)$ (Bennis et al., 2011). At lowest order, the Stokes drift flow is non-divergent (Ardhuin et al., 2008) so that the three components of the Stokes drift velocities verify:

$$\nabla \cdot \mathbf{u}^{\text{st}} + \frac{\partial w^{\text{st}}}{\partial z} = 0 \tag{17}$$

In practice, the vertical component w^{st} of the Stokes drift velocities is retrieved from the divergence of \mathbf{u}^{st} following Bennis et al. (2011):

$$w^{\rm st}(z') = -u^{\rm st}(-h)\frac{\partial h}{\partial x} - v^{\rm st}(-h)\frac{\partial h}{\partial y} + \int_{z_h}^{z'} \nabla \cdot \mathbf{u}^{\rm st} dz$$
(18)

where z' is any elevation between the seabed elevation z_b and the free surface elevation η .

The other conservative forcing term is the depth-homogeneous wave-induced pressure term, defined
 as follows (Bennis et al., 2011):

$$J = \int_{0}^{2\pi} \int_{0}^{\infty} g \frac{E(\sigma, \theta)}{\sinh(2k(\sigma)(\eta + h))} k(\sigma) \, d\sigma d\theta \tag{19}$$

731 Appendix B: Estimation of the wave runup with a phase-resolving model

The strong underestimation of the wave setup (roughly a factor 2) identified at the shoreline during 732 low-tides (q29 sensor, see at $x \sim 118$ m in Fig. 7d) is quite common in the 3 month-long dataset of Apotsos 733 et al. (2007). This underestimation remained unexplained until now and the improved representation of 734 the wave setup with the present 3D-VF numerical approach (by $\sim 30\%$ at the shoreline) is not sufficient to 735 explain this discrepancy. Considering the fairly accurate representation of the wave setup between x = 120-736 170 m (mean water depth < 1.3 m), the observed behaviour indicates the possible influence of swash-related 737 processes. In order to investigate this further, we applied a phase-resolving model (SWASH) to the low-tide 738 situation of 14 November 6:20PM. 739

The non-hydrostatic model SWASH (Zijlema et al., 2011) solves the Reynolds-averaged Navier-Stokes 740 equations for an incompressible, constant-density fluid with a free surface (the free surface elevation is 741 here noted ζ in order to differentiate it from the phase-averaged value used above). The ability of the 742 SWASH model to reproduce the nearshore wave transformation, and the resulting wave setup and runup 743 has been extensively assessed with data collected in both laboratory (Smit et al., 2014; Rijnsdorp et al., 744 2014; de Bakker et al., 2016) and field conditions (Nicolae-Lerma et al., 2017; Fiedler et al., 2018). We 745 here performed 2DV simulations with 4 layers in the vertical and a horizontally uniform grid resolution of 746 0.2 m. The forcing consisted of IONSWAP spectra fitted to the sea-surface spectra observed at the 8 m array 747 (the spectral shape factor γ was adjusted to 5, instead of the default value of 3.3). For the bottom friction, 748 a Manning's roughness coefficient of 0.015 was set while the α and μ parameters for the hydrostatic front 749 approximation (HFA; Smit et al., 2013) for simulating wave breaking onset were adjusted to 0.55 and 2, 750 respectively. Simulations are run for 130 min and the first 10 min were discarded from the present analysis. 751 The instantaneous shoreline is defined as the most seaward grid point with a water depth lower than 752 1 cm. The most seaward location reached by the instantaneous shoreline defines the beginning of the 753 swash zone. The time-varying shoreline position directly informs on the swash vertical excursion ζ , which 754 is used to estimate $R_{2\%}$, the 2% exceedence value of runup, following Stockdon et al. (2006): 755

$$R_{2\%} = 1.1 \left(<\zeta > +2 \sqrt{<(\zeta - <\zeta >)^2 >} \right)$$
(20)

where < . > is the time-averaging operator (the free surface elevation here fluctuates at the scale of 756 individual waves). Fig. B1a compares the observed and simulated significant wave heights for short waves 757 and confirms the capacity of the numerical model to accurately simulate the cross-shore transformation of 758 short waves across the shoaling and breaking wave regions. Fig. B1b compares the resulting wave setup 759 simulated with SWASH against the observations. Consistent with the observations, the simulated wave 760 setup $\langle \zeta \rangle - \langle \zeta_0 \rangle$ was here estimated as the difference in the mean water surface elevation relative 761 to q39 ($x \sim 445$ m). The wave setup is accurately reproduced, though a small underestimation is evident 762 at the fourth sensor, located at $x = 170 \,\mathrm{m}$ (as with the phase-averaged approach, see Section 5.1). For 763 the LT situation simulated here, the swash zone initiates between the first two sensors, and extends up 764 to $x \sim 100$ m. In contrast with the phase-averaged approach of SCHISM (Section 5.1), the SWASH model 765 resolves swash motions, and a good match is obtained with the wave setup observed at x = 118 m. 766



Figure B1: Results from the SWASH simulations during the LT situation during the SandyDuck campaign (14 November 6PM).

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